

## Linear all-*ortho* oligomers of phenol-formaldehyde resins

### II. Preparation and cation extraction properties of ester derivatives

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#### Summary

The ethyl acetate derivatives of all-*ortho* oligomers of *p*-*tert*-butylphenol-formaldehyde resins were prepared. The cation extraction properties were determined and were compared with those of the calixarene derivatives. The derivatives of linear oligomers showed the affinity toward alkali metal cations, arising from the cavity based on the pseudo-cyclic conformation. The same derivatives of *p*-*tert*-butylphenol resins also showed the affinity toward alkali metal cations.

#### Introduction

In our previous paper (1), linear all-*ortho* oligomers of phenol-formaldehyde resins were prepared, and the characterization and solution properties were investigated. These oligomers form cyclic conformation in an apolar solvent due to inter- and/or intramolecular hydrogen bonding, whose conformation must be the same as that of calix[*n*]arenes, phenol-formaldehyde cyclic oligomers. The calixarenes are produced by condensation of *p*-substituted phenols with formaldehyde, and whose selective extraction and transport properties based on the formation of host-guest complex are particularly interesting (2).

Consequently, the linear oligomers will be also expected to form host-guest complex in solutions, because the conformation in solution is stabilized by inter- and/or intramolecular hydrogen bonds and must contain inter- and/or intramolecular cavities sufficiently large to allow substrate inclusion.

In this paper, new phenolic oligomers, ethyl acetate derivatives of linear all-*ortho* oligomers with ion binding affinity toward alkali metal cations are prepared and the liquid-liquid extraction behavior for alkali metal cations is examined. And the affinity of the linear oligomer is compared with that of the derivative of calixarenes. If phenolic resin has the selective extraction property for metal cations, the application of phenolic resins will be wider as a functional polymer. Thus, the extraction ability of ethyl acetate derivative of *p*-*tert*-butylphenol resin toward alkali metal cations is also determined.

## Experimental

### Preparation

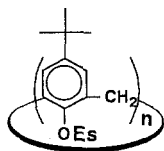
The ethyl acetate derivatives of calix[*n*]arenes (*n*=4, 6 and 8) were prepared according to the literature (3). The derivative was symbolized as *n*Es (*n*=4, 6 and 8).

Linear all-*ortho* oligomers of *p-tert*-butylphenol were prepared according to the previous paper (1). These oligomers were designed as *n*BP (*n*=2-7). The ethyl acetate derivatives of oligomers (*n*BP-Es) were prepared by the reaction of *n*BP with ethyl bromoacetate. A typical procedure was described below, as an example for 2BP-Es : 2-BP (3.12 g, 0.01mol) was treated with NaH (4.0 g, 500 % molar excess) in dry THF (200mL), and then ethyl bromoacetate (16.7 g, 0.1mol) was added dropwise. The reaction mixture was stirred at room temperature for 24 h. The mixture was concentrated to syrupy liquid under reduced pressure. Excess NaH was decomposed with dil.HCl. The product was extracted with CH<sub>2</sub>Cl<sub>2</sub> and then the extract was washed with distilled water. After removing water over Na<sub>2</sub>SO<sub>4</sub>, the extract was concentrated to dryness. The oily product was heated on the hot stage at 60 °C under reduced pressure to remove a trace of ethyl bromoacetate.

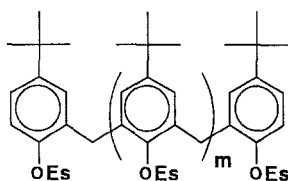
The products were identified to be *n*BP-Es on the basis of the following analyses :

**2BP-Es** : 95% yield; mp < r.t. ; IR (KBr disk)  $\nu_{C=O}$  1750cm<sup>-1</sup>, no  $\nu_{OH}$  ; <sup>1</sup>H-NMR (100 MHz, CDCl<sub>3</sub>, 25 °C)  $\delta$  1.23 (*t*-Bu, s, 18H), 1.29 (CH<sub>3</sub>, t, *J*=7.7Hz, 6H), 4.15 (ArCH<sub>2</sub>Ar, s, 2H), 4.23 (CO<sub>2</sub>CH<sub>2</sub>, q, *J*=7.2Hz, 4H), 4.59 (OCH<sub>2</sub>CO, s, 4H), 6.59 - 7.13 (ArH, m, 6H) . Anal. Calcd for C<sub>29</sub>H<sub>40</sub>O<sub>6</sub> : C, 71.92; H, 8.26. Found : C, 70.94; H, 8.17.

**3BP-Es** : 80% yield; mp < r.t. ; IR (KBr disk)  $\nu_{C=O}$  1750cm<sup>-1</sup>, no  $\nu_{OH}$  ; <sup>1</sup>H-NMR (100 MHz, CDCl<sub>3</sub>, 25 °C)  $\delta$  1.15 - 1.28 (*t*-Bu, CH<sub>3</sub>, m, 36H), 4.11 - 4.33 (ArCH<sub>2</sub>Ar and CO<sub>2</sub>CH<sub>2</sub>, m, 12H), 4.59 (OCH<sub>2</sub>CO, s, 4H), 6.71 - 7.26 (ArH, m, 8H) . Anal. Calcd for C<sub>44</sub>H<sub>60</sub>O<sub>9</sub> : C, 72.15; H, 8.26. Found : C, 70.29; H, 8.13.



4Es (*n*=4), 6Es (*n*=6), 8Es (*n*=8)



Es = -CH<sub>2</sub>CO<sub>2</sub>Et

2BP-Es (*m* = 0), 3BP-Es (*m* = 1), 4BP-Es (*m* = 2)  
5BP-Es (*m* = 3), 6BP-Es (*m* = 4), 7BP-Es (*m* = 5)

**4BP-Es** : 80% yield; mp < r.t. ; IR (KBr disk)  $\nu_{C=O}$  1750 $\text{cm}^{-1}$ , no  $\nu_{OH}$ ;  $^1\text{H-NMR}$  (100 MHz,  $\text{CDCl}_3$ , 25 °C)  $\delta$  1.13 - 1.39 (*t*-Bu and  $\text{CH}_3$ , m, 48H), 4.11 - 4.32 ( $\text{ArCH}_2\text{Ar}$  and  $\text{CO}_2\text{CH}_2$ , m, 14H), 4.68 ( $\text{OCH}_2\text{CO}$ , s, 8H), 6.71 - 7.26 ( $\text{ArH}$ , m, 10H). Anal. Calcd for  $\text{C}_{59}\text{H}_{80}\text{O}_{12}$  : C, 72.26; H, 8.22. Found : C, 71.14; H, 8.14.

**5BP-Es** : 78% yield; mp < r.t. ; IR (KBr disk)  $\nu_{C=O}$  1750 $\text{cm}^{-1}$ , no  $\nu_{OH}$ ;  $^1\text{H-NMR}$  (100 MHz,  $\text{CDCl}_3$ , 25 °C)  $\delta$  1.13 - 1.35 (*t*-Bu and  $\text{CH}_3$ , m, 60H), 4.13 - 4.32 ( $\text{ArCH}_2\text{Ar}$  and  $\text{CO}_2\text{CH}_2$ , m, 18H), 4.59 ( $\text{OCH}_2\text{CO}$ , s, 10H), 6.89 - 7.26 ( $\text{ArH}$ , m, 12H). Anal. Calcd for  $\text{C}_{74}\text{H}_{100}\text{O}_{15}$  : C, 72.30; H, 8.20. Found : C, 70.82; H, 7.95.

**6BP-Es** : 83% yield; mp < r.t. ; IR (KBr disk)  $\nu_{C=O}$  1750 $\text{cm}^{-1}$ , no  $\nu_{OH}$ ;  $^1\text{H-NMR}$  (100 MHz,  $\text{CDCl}_3$ , 25 °C)  $\delta$  1.13 - 1.33 (*t*-Bu and  $\text{CH}_3$ , m, 72H), 4.13 - 4.50 ( $\text{ArCH}_2\text{Ar}$  and  $\text{CO}_2\text{CH}_2$ , m, 22H), 4.59 ( $\text{OCH}_2\text{CO}$ , s, 12H), 6.90 - 7.26 ( $\text{ArH}$ , m, 14H). Anal. Calcd for  $\text{C}_{89}\text{H}_{120}\text{O}_{18}$  : C, 72.37; H, 8.19. Found : C, 72.49; H, 8.62.

**7BP-Es** : 86% yield; mp < r.t. ; IR (KBr disk)  $\nu_{C=O}$  1750 $\text{cm}^{-1}$ , no  $\nu_{OH}$ ;  $^1\text{H-NMR}$  (100 MHz,  $\text{CDCl}_3$ , 25 °C)  $\delta$  1.12- 1.35 (*t*-Bu and  $\text{CH}_3$ , m, 84H), 4.15- 4.60 ( $\text{ArCH}_2\text{Ar}$  and  $\text{CO}_2\text{CH}_2$ , m, 26H), 4.59 ( $\text{OCH}_2\text{CO}$ , s, 14H), 6.90 - 7.26 ( $\text{ArH}$ , m, 16H) . Anal. Calcd for  $\text{C}_{104}\text{H}_{140}\text{O}_{21}$  : C, 72.41; H, 8.18. Found : C, 72.90; H, 8.68.

*p*-*tert*-Butylphenol resin was prepared by condensation of *p*-*tert*-butylphenol with formaldehyde. *p*-*tert*-Butylphenol (30 g, 0.2 mol), 37 % formalin (32.5 g, 0.4 mol as a formaldehyde) and conc. HCl (23.4 mL, 0.3 mol) were stirred at 80 °C for 7 h. The reaction mixture was poured into a large amount of water and the product obtained was extracted with  $\text{CH}_2\text{Cl}_2$ . The extract was concentrated into dryness and then the residue was dissolved in  $\text{CH}_3\text{OH}$ . The soluble part in  $\text{CH}_3\text{OH}$  contained lower molecular weight resin and the insoluble part contained higher molecular weight one. The ethyl acetate derivatives of the resins were prepared according to the method described above.

### Measurements

$^1\text{H-NMR}$  spectra were recorded on a JEOL FX-100S FT-NMR spectrometer at 100 MHz. Molecular weights and molecular weight distributions were determined by GPC using a Shimadzu HPLC LC-6A with a TOSOH UV-8011 spectrophotometer (270 nm) as a detector and two TSKgel G2000H8 and one TSKgel G3000H8 columns connected in series, and THF as an eluent.

### Extraction studies

5 mL of aqueous alkali picrate solution ( $[\text{Pic}] = 2.7 \times 10^{-5} \text{ M}$ ,  $[\text{MCl}] = 1.0 \times 10^{-2} \text{ M}$ ) and 5 mL of a  $2.7 \times 10^{-3}$  -  $2.7 \times 10^{-2} \text{ M}$  solution of ionophore in  $\text{CH}_2\text{Cl}_2$  were stored in a stoppered test tube immersed in a thermostated water bath at 25 °C. The extraction equilibrium was reached after 1 min vigorous shaking by automatic shaker, followed by standing for 12 h in the water bath. The absorption,  $A$ , of aqueous phase was measured at 355 nm, i.e., the wavelength of maximum absorption of the picrate ion. A blank experiment, without ionophore, was run under the same condition which yielded an absorbance,  $A_0$ , of the aqueous phase. The percentage cation extracted was calculated as the ratio  $(A_0 - A)/A_0 \times 100$ . In this work, a blank experiment showed no extraction for alkali cations.

## Results and Discussion

The selective extraction properties of *n*BP-Es for alkali metal cations were determined according to Pederson's technique (4) of picrate extraction that is semi-quantitative means

of assessing ion transfer ability from aqueous solution into an apolar organic solvent ( $\text{CH}_2\text{Cl}_2$  in this study) as a convenient. The resulting extraction data were shown in Figure 1. Though 2BP-Es and 3BP-Es have a little extraction ability, 4BP-Es, 5BP-Es, 6BP-Es and 7BP-Es show the affinity toward alkali metal cations. The affinity becomes higher as the size of oligomer is larger. In particular, 5BP-Es shows  $\text{Na}^+$  selectivity. Furthermore, the affinity of 6BP-Es and 7BP-Es are more preferable toward larger alkali cations,  $\text{K}^+$ ,  $\text{Rb}^+$  and  $\text{Cs}^+$  than  $\text{Na}^+$ , but they show less selectivity. This result indicates that the alkali metal selectivity and affinity of  $n$ BP-Es are dependent on the size, as well as that of ester derivatives of calix[ $n$ ]arene for alkali metal cations.

The affinity of  $n$ BP-Es toward alkali metal cations were compared with those of 4Es, 6Es and 8Es. The typical result was illustrated in Figure 2. The extraction selectivity of 5BP-Es resembles that of 4Es in favor of  $\text{Na}^+$ , though the affinity of 5BP-Es is lower than that of 4Es. On the other hand, the affinity of 6BP-Es and 7BP-Es are similar to those of 6Es and 8Es, which show higher selectivity and affinity for  $\text{K}^+$ ,  $\text{Rb}^+$  and  $\text{Cs}^+$ . This indicates that these affinity of  $n$ BP-Es is considered to arise from the cavity present in  $n$ BP-Es based on the pseudo-cyclic conformation as shown in Scheme 1. The  $n$ BP-Es seems to form a complex with alkali metal cations by winding around alkali cations. The size of cavity formed by 5BP-Es is equal to that of 4Es, just fitting to  $\text{Na}^+$  ion. The less extraction selectivity and affinity of  $n$ BP-Es than that of  $n$ Es may be due to the conformational flexibility.

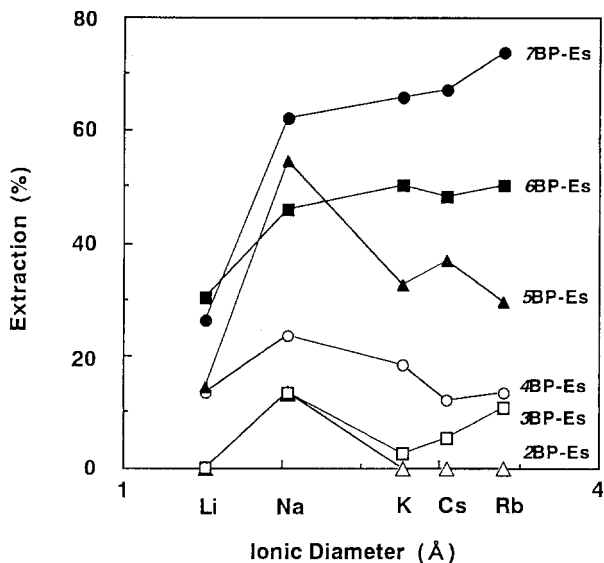


Figure 1. The extraction percentages of alkali metal picrates by  $n$ BP-Es from a neutral aqueous solution ( $[\text{Pic}]=2.7 \times 10^{-5} \text{ M}$ ,  $[\text{MCl}]=1.0 \times 10^{-2} \text{ M}$ ) into  $\text{CH}_2\text{Cl}_2$  solution ( $[n\text{BP-Es}]=2.7 \times 10^{-2} \text{ M}$ ) at  $25^\circ\text{C}$ .

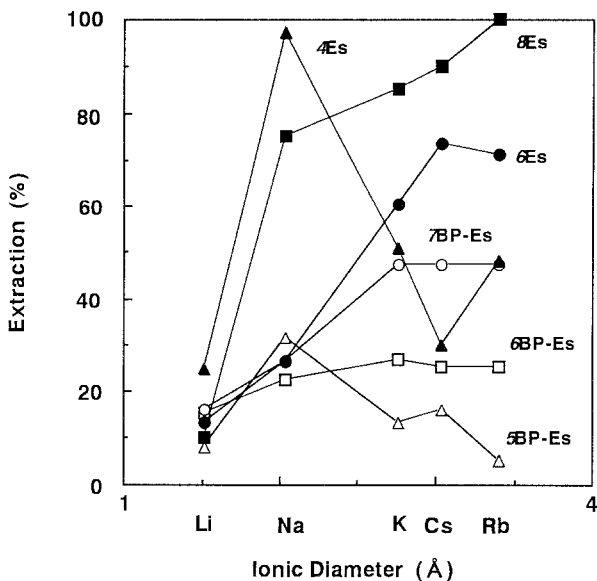
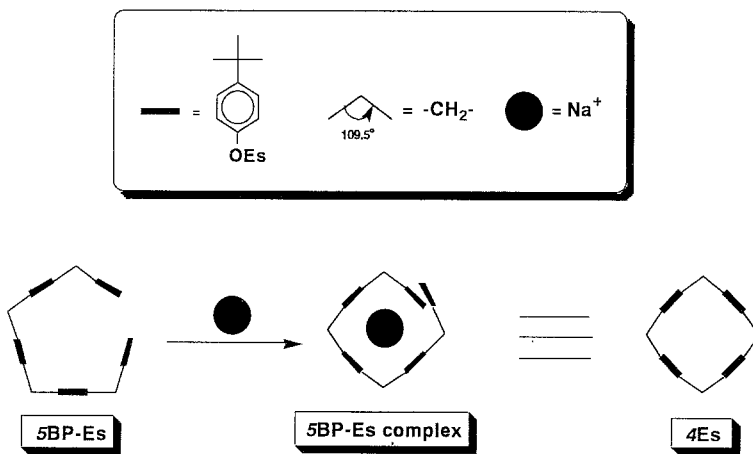


Figure 2. The extraction percentages of alkali metal picrates by  $n$ BP-Es and  $n$ Es from a neutral aqueous solution ( $[\text{Pic}]=2.7 \times 10^{-5} M$ ,  $[\text{MCl}]=1.0 \times 10^{-2} M$ ) into  $\text{CH}_2\text{Cl}_2$  solution ( $[n\text{Es}]$ ,  $[n\text{BP-Es}]=2.7 \times 10^{-3} M$ ) at 25 °C.



Scheme 1

Complexation studies in apolar solvents were carried out by  $^1\text{H-NMR}$  spectroscopy. The signals in  $^1\text{H-NMR}$  spectrum of 4Es in  $\text{CDCl}_3$  at room temperature changed by adding  $\text{Na}^+$  ion in  $\text{CD}_3\text{OD}$  to a  $\text{CDCl}_3$  solution of 4Es. And all signals became sharper and remained unchanged after the molar ratio of 4Es to  $\text{Na}^+$  ion has reached unity (5). This indicates 1 : 1 stoichiometry for the complex of 4Es with  $\text{Na}^+$ . However, the  $^1\text{H-NMR}$  spectrum of 5BP-Es was almost unchanged by adding variable amount of  $\text{Na}^+$  in the same condition. This NMR studies indicate that the complexing ability and the stability of complex of 5BP-Es are lower than those of 4Es, arising from the conformational flexibility in solutions.

The extraction ability of the ethyl acetate of *p-tert*-butylphenol resin with molecular weight distribution toward alkali metal cations was determined in the same manner described in *n*BP-Es experiments. The resin obtained was classified into two types based on the molecular weight : BP-I has lower molecular weight, the number-average molecular weight ( $M_n$ ) =  $1 \times 10^3$ , and BP-II has higher,  $M_n = 2 \times 10^3$ . The extraction results were shown in Figure 3. The affinity toward alkali metal cations depended on the molecular weight of ligand. BP-I showed the affinity toward  $\text{Na}^+$ , as the same of 5BP-Es. On the other hand, BP-II showed the affinity toward  $\text{K}^+$ ,  $\text{Rb}^+$  and  $\text{Cs}^+$ , which is equal to that of 7BP-Es. It is found that the ester derivative of *p-tert*-butylphenol resin has the affinity toward alkali metal cations, even though it has molecular weight distribution and the composition is heterogeneous.

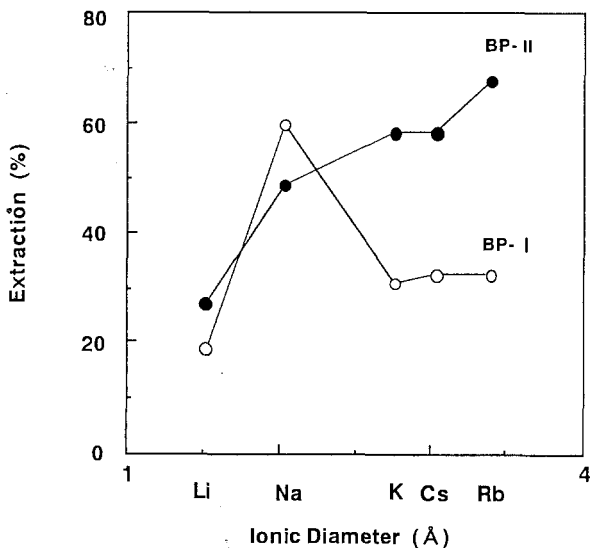


Figure 3. The extraction percentages of alkali metal picrates by BP-I and BP-II from a neutral aqueous solution ( $[\text{Pic}] = 2.7 \times 10^{-5} \text{ M}$ ,  $[\text{MCl}] = 1.0 \times 10^{-2} \text{ M}$ ) into  $\text{CH}_2\text{Cl}_2$  solution ( $[\text{BP-I}]$ ,  $[\text{BP-II}] = 2.0 \times 10^{-2} \text{ g/mL}$ ) at  $25^\circ\text{C}$ .

In conclusion, the ethyl acetate derivatives of all-*ortho* oligomers of *p-tert*-butylphenol-formaldehyde resins were prepared and some higher oligomers showed the affinity as well as the selectivity toward alkali metal cations. The same derivative of *p-tert*-butylphenol resin with molecular weight distribution also showed the affinity toward alkali cations. The phenolic resin is expected to be applied to wider fields of host-guest chemistry.

### References

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